

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

A

Assistant Commissioner for Patent  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Attorney Docket No. 00443-17U3  
First Named Inventor: Louis S. Kucera  
Express Mail Label No. EL329911956US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**LIPID ANALOGS FOR TREATING VIRAL INFECTIONS**

which is:

an ☐ Original; or

a ☐ Continuation, ☒ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. 08/793,470, filed May 2, 1997, which is entitled to  
priority to International Patent Application PCT/US99/05536 (filed August 7,  
1995), to U.S. Application 08/314,901 (filed September 29, 1994), and to U.S.  
Application 08/297,416 (filed August 29, 1994).

Enclosed are:

- ☒ Specification (including original pages 1-25 and amended pages 26-51, forming the Annex to the International Preliminary Examination Report) and claims: 51 pages.
- ☒ Copy of Combined Declaration and Power of Attorney from prior application.
- ☐ sheets of drawings (formal) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Verified Statement Claiming Small Entity Status under 37 CFR 1.9 and 1.27.
  - ☐ was filed in the prior non-provisional application, and such status is still proper and desired (37 CFR 1.28(a));
  - ☐ is enclosed herewith; ☐ is no longer desired.
- ☐ Preliminary Amendment.
- ☒ Information Disclosure Statement and PTO-1449 (cited references were provided in the prior application and are not enclosed).
- ☒ Other: Preliminary Amendment



The filing fee has been calculated as shown below after entry of the Preliminary Amendment:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$380			\$760	
Total	66-20=	46	X9		OR	X18	\$ 828
Independent	11-3=	8	X39		OR	X78	\$ 624
Multiple Dependent Claims Present: <u>0</u>			\$130	\$	OR	\$260	\$ 0
			<b>TOTAL</b>		OR	<b>TOTAL</b>	<b>\$ 1,452</b>

The Commissioner is hereby authorized to charge payment of the following fees or credit any overpayment to Deposit Account No. 50-1017 (200443.0064). One additional copy of this sheet is enclosed.

- ☒ The above calculated filing fee **\$1,452**.
- ☒ Any additional fees required under 37 C.F.R. § 1.16.
- ☒ Any additional fees required under 37 C.F.R. §1.17.
- ☒ If the filing of any paper during the prosecution of this application requires an extension of time in order for the paper to be timely filed, applicant(s) hereby petition(s) for the appropriate extension of time pursuant to 37 C.F.R. §1.136(a).

**CORRESPONDENCE ADDRESS:**

October 4, 1999  
(Date)

By:

GARY D. COLBY, Ph.D., J.D.

Registration No. 40,961

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square

2005 Market Street - Suite 2200

Philadelphia, PA 19103

Telephone: 215-965-1200

Facsimile: 215-965-1210

E-Mail: gcolby@akingump.com

Direct Dial: 215-965-1285

☒ Customer Number or Bar Code Label: **000570**

GDC/ moh

Enclosures

**PATENT**  
**Box Non-Fee Amendment**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:	Patent Application of Louis S. Kucera et al.	: Group Art Unit: Unknown : : :
Appln. No.:	Not Yet Assigned	: Examiner: Not Yet Assigned : :
Filed:	Herewith	: : :
For:	LIPID ANALOGS FOR TREATING VIRAL INFECTIONS	: Attorney Docket : No. 00443-17U3 (200443.0064)

**PRELIMINARY AMENDMENT**

Prior to calculation of the filing fee and examination of the application referenced above, please amend the application, without prejudice, as follows.

**In the Specification:**

On page 1 between lines 3 and 4, please insert the following:

-- CROSS-REFERENCE TO RELATED APPLICATIONS

This is a division of U.S. Application No. 08/793,470 (filed May 2, 1997), which is a 371 of International Application PCT/US95/10111 (filed August 7, 1995), which is entitled to priority to U.S. Application No. 08/314,901 (filed September 29, 1994) and to U.S. Application No. 08/297,416 (filed August 29, 1994). --

**In the Claims:**

Please cancel claims 1-19, 34-38, 45-49, 82-87, and 99, without prejudice.

**In the Abstract:**

Please insert the Abstract of the Disclosure attached to this Preliminary Amendment.

**Remarks**

Claims 20-33, 39-44, 50-81, 88-98, and 100-102 are pending in this application upon entry of this Preliminary Amendment.

The amendments made herein relate solely to formal matters and cancellation of claims allowed in the copending parent application. No new matter has been introduced by way of this Preliminary Amendment.

The specification has been amended to cross-reference related applications.

A new, executed Power of Attorney will be forwarded shortly, under separate cover.

Entry of these amendments prior to examination of the present application are respectfully requested.

Respectfully submitted,

**Louis S. Kucera *et al.***

October 4, 1999

(Date)

By: 

**GARY D. COLBY, Ph.D., J.D.**

Registration No. 40,961

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square

2005 Market Street - Suite 2200

Philadelphia, PA 19103

Telephone: 215-965-1200

**Direct Dial: 215-965-1285**

Facsimile: 215-965-1210

E-Mail: gcolby@akingump.com

## LIPID ANALOGS FOR TREATING VIRAL INFECTIONS

### Field of the Invention

5           This invention relates generally to the treatment of viral infections, and more specifically to the treatment of viral infections with phospholipids and phospholipid derivatives.

### Background of the Invention

          A current treatment for combating human immunodeficiency virus type  
10   1 (HIV-1) infections is the administration of the nucleoside analog 3'-azido-3'-deoxythymidine (AZT) to an afflicted subject. See, e.g., U.S. Patent No. 4,724,232 to Rideout et al. HIV-1 infection treatment methods have also included the administration of ether lipid compounds in an amount effective to inhibit replication of the virus in infected cells, see, e.g., Kucera et al.,  
15   AIDS Research and Human Retroviruses 6:491 (1990), and ether lipids conjugated with AZT and other antiviral nucleoside analogs. See PCT Application No. US91/04289 (published 26 December 1991). These compounds appear to act at the plasma membrane to block the endocytic process of HIV-1 into CD4<sup>+</sup> cells and the process of virus assembly, cell  
20   fusion and pathogenesis. They also can inhibit the activity of protein kinase C. Given the seriousness of HIV-1 infection worldwide, there is an ongoing need for new methods of combating HIV-1 infections.

          Another virus of serious concern, hepatitis B virus (HBV), is one of a family of hepadnaviruses that cause acute and chronic liver disease, including  
25   liver cancer. HBV, which is found in the body fluids of infected persons, makes three antigenic proteins during multiplication in liver cells: hepatitis B surface antigen (HBsAg), hepatitis B e antigen (HBeAg) and hepatitis B core antigen (HBcAg). These three virus antigenic proteins are important as markers for determining virus infection, as antibodies against the virus  
30   infection are made in response to these virus proteins in the blood. An HBV vaccine is available to prevent infection, and hyperimmune gamma globulin is available for temporary prophylaxis against developing HBV infection in

persons at risk. Clearly specific antiviral agents are needed for treatment and control of HBV infections in humans.

Based on the foregoing, it is an object of the present invention to provide a new treatment method for combating the effects of HIV-1.

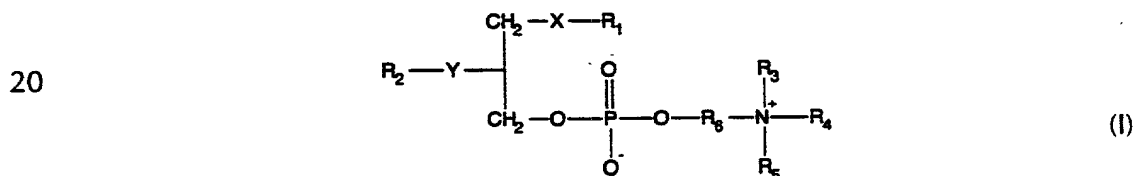
5 It is another object of the present invention to provide compounds and pharmaceutical compositions for carrying out HIV-1 treatment methods.

It is also an object of the present invention to provide a new treatment method for combating the effects of HBV.

10 It is a second object of the present invention to provide compounds and pharmaceutical compositions for carrying out HBV treatment methods.

### Summary of the Invention

These and other objects are satisfied by the present invention, which provides methods of combating viral infections. As a first aspect, the present invention provides a method of combating a viral infection in a subject in  
15 need of such treatment comprising administering to the subject an effective infection-combating amount of a compound of Formula I or a pharmaceutical salt thereof.

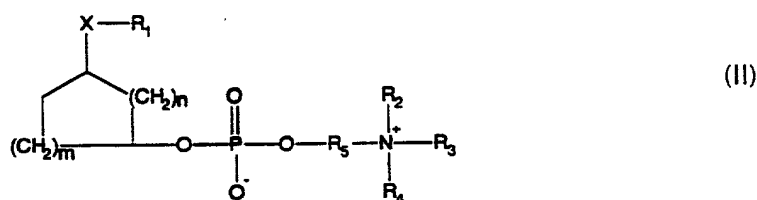


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In the compounds of Formula I,  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{18}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic; X is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ;  $\text{R}_2$  is a branched or unbranched, saturated or  
30 unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic; Y is

selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>; R<sub>6</sub> is a branched or unbranched C<sub>2</sub> to C<sub>6</sub> alkyl group; and R<sub>3</sub>, R<sub>4</sub>, and R<sub>5</sub> are independently methyl or ethyl, or R<sub>3</sub> and R<sub>4</sub> together form an aliphatic or heterocyclic ring having five or six members and R<sub>5</sub> is methyl or ethyl. Preferred compounds include 1-dodecanamido-2-decyloxypropyl-3-phosphocholine, 1-dodecanamido-2-octyloxypropyl-3-phosphocholine, and 1-dodecanamido-2-dodecyloxypropyl-3-phosphocholine. The method is particularly preferred as a treatment to combat viral infections caused by HIV-1, HBV, and herpes simplex virus. The present invention also includes pharmaceutical compositions comprising a compound of Formula I and a suitable pharmaceutical carrier.

As a second aspect, the present invention includes a method of combating viral infections in a subject in need of such treatment which comprises the administration to such a subject a compound of Formula II or a pharmaceutical salt thereof in an effective infection-combating amount.



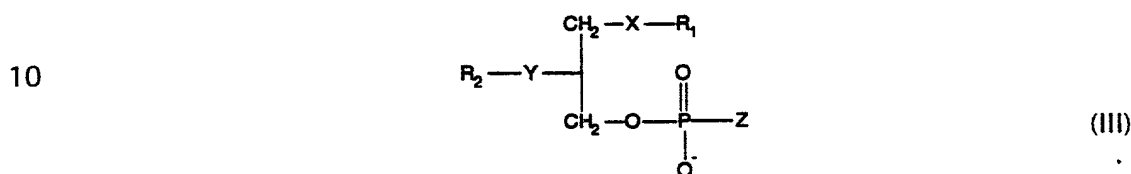
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In Formula II, the ring structure is optionally substituted from 1 to 3 times with C<sub>1</sub> to C<sub>3</sub> alkyl; R<sub>1</sub> is an unbranched or branched, saturated or unsaturated C<sub>6</sub> to C<sub>20</sub> alkyl group; R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently methyl or ethyl, or R<sub>2</sub> and R<sub>3</sub> together form an aliphatic or heterocyclic ring having five or six members and R<sub>4</sub> is methyl or ethyl; X is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>; R<sub>5</sub> is a branched or unbranched C<sub>2</sub> to C<sub>6</sub> alkyl group; *m* is 1 to 3; and *n* is 0 to 2. Preferred compounds of Formula II are 3-hexadecanamido-cyclohexylphosphocholine and 3-hexadecylthio-cyclohexylphosphocholine.

30

Administration of the compounds of Formula II is particularly useful in treating viral infections caused by HIV-1, HBV, and herpesviruses. The present invention also includes pharmaceutical compositions comprising a compound of Formula II and a suitable pharmaceutical carrier.

- 5 A third aspect of the present invention is a method of treating viral infections comprising administering to a subject in need of such treatment an effective infection-inhibiting amount of a compound of Formula III.



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- In compounds of Formula III,  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{18}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic; X is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ;  $\text{R}_2$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic; Y is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ; and Z is a moiety of the Formula V,
- 20

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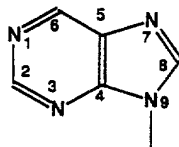
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wherein: V is H or  $\text{N}_3$ ;  
W is H or F; or



5

V and W together are a covalent bond; and  
B is a purinyl moiety of Formula VI

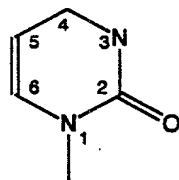


(VI)

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optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or  
10 halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or  
C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII



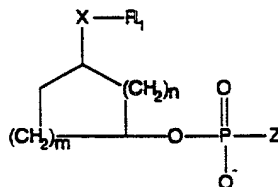
(VII)

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substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at  
20 position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally  
substituted 1 to 3 times with halogen.

Pharmaceutical compositions comprising these compounds and a  
pharmaceutical carrier are also encompassed by the present invention.

A fourth aspect of the present invention is a method of inhibiting viral  
25 infections comprising administering to a subject in need of such treatment an  
effective infection-inhibiting amount of a compound of Formula IV.

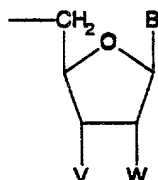


(IV)

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In the compounds of Formula IV, the ring structure is optionally substituted from 1 to 3 times with  $C_1$  to  $C_3$  alkyl;  $R_1$  is an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{20}$  alkyl group; X is selected from the group consisting of  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $S$ ,  $SO$ ,  $SO_2$ ,  $O$ ,  $NH$ , and  $NCH_3$ ;  $m$  is 1 to 3;  $n$  is 0 to 2; and Z is a moiety of the Formula V,

10



(V)

wherein: V is H or  $N_3$ ;

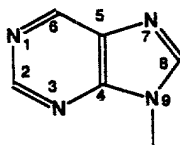
W is H or F; or

15

V and W together are a covalent bond; and

B is a purinyl moiety of Formula VI

20



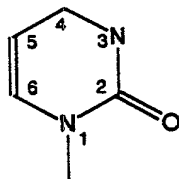
(VI)

optionally substituted at position 2 with  $=O$ ,  $-OH$ ,  $-SH$ ,  $-NH_2$ , or halogen, at position 4 with  $NH_2$  or  $=O$ , at position 6 with  $Cl$ ,  $-NH_2$ ,  $-OH$ , or  $C_1$ - $C_3$  alkyl, and at position 8 with  $Br$  or  $I$ ; or

25

B is a pyrimidinyl moiety of Formula VII

30



(VII)

substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally substituted 1 to 3 times with halogen.

The present invention also includes pharmaceutical compositions comprising a compound of Formula IV and a suitable pharmaceutical carrier.

#### **Detailed Description of the Invention**

As used herein, the term "alkyl" is intended to refer to an unbranched or branched alkyl group comprising carbon atoms, such as methyl, ethyl, propyl, isopropyl, n-butyl, tert-butyl, hexyl, and octyl. The term "pharmaceutical salt" refers to a salt that retains the desired biological activity of the parent compound and does not impart undesired toxicological effects thereto. Examples of such salts are (a) salts formed with cations such as sodium, potassium, NH<sub>4</sub><sup>+</sup>, magnesium, calcium polyamines, such as spermine, and spermidine, etc.; (b) acid addition salts formed with inorganic acids, for example hydrochloric acid, hydrobromic acid, sulfuric acid, phosphoric acid, nitric acid and the like; (c) salts formed with organic acids such as, for example, acetic acid, oxalic acid, tartaric acid, succinic acid, maleic acid, fumaric acid, gluconic acid, citric acid, malic acid, ascorbic acid, benzoic acid, tannic acid, palmitic acid, alginic acid, polyglutamic acid, naphthalenesulfonic acid, methanesulfonic acid, p-toluenesulfonic acid, naphthalenedisulfonic acid, polygalacturonic acid, and the like; and (d) salts formed from elemental anions such as chlorine, bromine, and iodine.

A first aspect of the present invention is a method of combating viral infection comprising administering a compound of Formula I, wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub>, X, and Y are defined as stated above, or a pharmaceutical salt thereof. The amphipathic compounds of Formula I, which are generally analogs of phosphatidylcholine, include a glycerol backbone (represented by the chain of three carbon atoms to which other functional groups are bonded), lipophilic moieties (represented by R<sub>1</sub> and R<sub>2</sub>) bonded to positions 1 and 2 of the glycerol backbone through functional groups (represented by X and Y) that are generally resistant to phospholipase degradation, and polar phosphate and quaternary amine groups (linked to one another through a short

alkyl group) bonded to position 3 of the glycerol backbone. Each of these components of the compounds of Formula I is described separately below.

In Formula I, as described above,  $R_1$  is a lipophilic moiety; the lipophilicity of  $R_1$  allows the compounds of Formula I to bind with the cell membrane of a cell infected with a retrovirus to provide an anchor thereto.  $R_1$  can be an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{18}$  alkyl group. Preferably,  $R_1$  is an unbranched saturated or unsaturated  $C_8$  to  $C_{12}$  alkyl group, and more preferably,  $R_1$  is an unbranched saturated  $C_{10}$  or  $C_{12}$  alkyl group.

In compounds of Formula I, X is a functional group that links the lipophilic moiety  $R_1$  and the glycerol backbone of the compound. X is selected from the group consisting of  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $S$ ,  $SO$ ,  $SO_2$ ,  $O$ ,  $NH$ , and  $NCH_3$ ; these functional groups are resistant to the hydrolytic activity of cellular lipases, in particular phospholipase A, which is specific for ester linkages at position 1 (as are present in phosphatidyl choline). Preferably, X is S or  $NHCO$ , with  $NHCO$  being most preferred.

In Formula I,  $R_2$  is a lipophilic moiety which, as is true for  $R_1$ , enables the compounds of Formula I to bind with the cell membrane of an infected cell.  $R_2$  can be an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{14}$  alkyl group. Preferably,  $R_2$  is an unbranched saturated or unsaturated  $C_8$  to  $C_{12}$  alkyl group, and more preferably,  $R_2$  is an unbranched saturated  $C_8$  or  $C_{10}$  alkyl group. It is also preferred that  $R_1$  and  $R_2$  together contain between 18 and 22 carbon atoms.

$R_2$  is bonded to position 2 of the glycerol backbone through a functional group Y, which is selected from the group consisting of  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $S$ ,  $SO$ ,  $SO_2$ ,  $O$ ,  $NH$ , and  $NCH_3$ . Like X, Y should be a moiety that is resistant to the hydrolytic activity of cellular lipases, and in particular phospholipase B, as this enzyme is specific for ester linkages at position 2. Preferably, X is S or O, with O being more preferred.

The polar hydrophilic end of the amphipathic compounds of Formula I, which can play a role in membrane interaction, comprises an amphoteric phosphoalkyl quaternary amine group in which the phosphate moiety carries

the negative charge and the quaternary amine moiety carries the positive charge. In this group,  $R_6$ , which is a branched or unbranched, saturated or unsaturated  $C_2$  to  $C_6$  alkyl group, is preferably saturated  $C_2$ .  $R_3$ ,  $R_4$ , and  $R_5$  are independently selected from the group consisting of methyl and ethyl, with  
5 methyl being preferred, and with  $R_3$ ,  $R_4$ , and  $R_5$  each being methyl being more preferred, or  $R_3$  and  $R_4$  together form an aliphatic or heterocyclic ring having five or six members and  $R_5$  is methyl or ethyl.

Exemplary compounds of Formula I include 1-dodecanamido-2-decyloxypropyl-3-phosphocholine (CP-128), 1-dodecanamido-2-  
10 octyloxypropyl-3-phosphocholine (CP-130), 1-dodecanamido-2-dodecyloxypropyl-3-phosphocholine (CP-131), and 1-dodecyloxy-2-decyloxypropyl-3-phosphocholine (CP-129). These compounds of Formula I can be synthesized according to the procedures set forth in Examples 1 and 2 below. Other compounds of Formula I can be synthesized using the same  
15 method with the appropriate reagents substituted for those listed.

Another aspect of the invention is a method of combating viral infection by administering compounds of Formula II, wherein  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_5$ ,  $X$ ,  $m$ , and  $n$  are defined as stated above, or a pharmaceutical salt thereof. Compounds of Formula II are amphipathic moieties having a lipophilic moiety (represented by  $R_1$ ) linked to a five- or six-membered ring structure (which  
20 is optionally substituted 1 to 3 times with  $C_1$  to  $C_3$  alkyl) and a hydrophilic moiety that includes phosphate and quaternary amine groups linked by a short alkyl group that is bonded to the ring structure through the phosphate group. The hydrophilic group is linked to the ring at position 1, and the  
25 lipophilic group is linked to the ring at positions 2, 3, or 4. Like the compounds of Formula I, the compounds of Formula II are analogs of phosphatidyl choline. However, the ring structure provides a more conformationally restricted framework for the compound than compounds lacking a ring structure; this restricted framework can provide the compound with more  
30 favorable interaction with the cellular membrane and thereby increase its efficacy.

In the compounds of Formula II,  $R_1$  can be an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{20}$  alkyl group. As with the compounds of Formulas II,  $R_1$  is a lipophilic moiety which binds with the cell membrane of infected cells to provide an anchor thereto. Preferably,  $R_1$  is  
5 unbranched saturated or unsaturated  $C_{10}$  to  $C_{18}$  alkyl. More preferably,  $R_1$  is unbranched saturated or unsaturated  $C_{16}$  to  $C_{18}$  alkyl.

In compounds of Formula II, X is a functional group that links the lipophilic moiety  $R_1$  to position 1 of the ring structure. X should be a functional group, such as  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $NH$ ,  $NCH_3$ , S,  
10  $SO$ ,  $SO_2$ , or  $O$ , that is able to withstand the hydrolytic activity of cellular lipases. Preferably, Y is S or  $NHCO$ .

As stated above, the polar hydrophilic end of the amphipathic compounds of Formula II comprises a phosphate group bonded to the ring structure, a short alkyl group  $R_5$  linked at one end thereto, and a quaternary  
15 amine group linked to the opposite end of the short alkyl group.  $R_5$  is a saturated or unsaturated, branched or unbranched  $C_2$  to  $C_6$  alkyl group, and is more preferably  $C_2$ .  $R_2$ ,  $R_3$ , and  $R_4$  are independently selected from the group consisting of methyl and ethyl, with methyl being preferred, or  $R_2$  and  $R_3$  together form an aliphatic or heterocyclic five- or six-membered ring structure  
20 and  $R_4$  is methyl or ethyl. It is more preferred that  $R_2$ ,  $R_3$ , and  $R_4$  are each methyl.

In the compounds of Formula II,  $m$  can be 1, 2, or 3, and  $n$  can be 0, 1, or 2. Preferably the ring structure is a five- or six-membered ring; thus, preferably  $m$  is 2 or 3 when  $n$  is 0,  $m$  is 1 or 2 when  $n$  is 1, and  $m$  is 1 when  
25  $n$  is 2. As noted above, the ring structure provides conformational rigidity to the compound.

Exemplary compounds of Formula II include 3-hexadecylthio-cyclohexylphosphocholine (INK-1), 3-hexadecanamido-cyclohexylphosphocholine, 3-hexadecanamido-cyclopentylphosphocholine, and 3-hexadecylthio-cyclo-  
30 pentylphosphocholine. These compounds of Formula II can be synthesized by following the teachings of Example 3 below in combination with procedures known to those skilled in the art.

An additional aspect of the present invention is a method of combating viral infection with compounds of Formulas III and IV. These compounds substitute a moiety Z for the alkyl-quaternary amine of the compounds of Formulas I and II, wherein Z is as defined above. Z is a moiety that has demonstrated anti-viral activity by itself; thus conjugation of Z to the remainder of the compounds of Formulas III and IV provides a compound that potentially includes multiple active sites for viral inhibition.

In the compounds of Formula III,  $R_1$ ,  $R_2$ , X and Y are defined above.  $R_1$  is a lipophilic moiety; the lipophilicity of  $R_1$  allows the compounds of Formula I to bind with the cell membrane of a cell infected with a retrovirus to provide an anchor thereto.  $R_1$  can be an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{18}$  alkyl group. Preferably,  $R_1$  is an unbranched saturated or unsaturated  $C_8$  to  $C_{12}$  alkyl group, and more preferably,  $R_1$  is an unbranched saturated  $C_{10}$  or  $C_{12}$  alkyl group.

In compounds of Formula III, X is a functional group that links the lipophilic moiety  $R_1$  and the glycerol backbone of the compound. X is selected from the group consisting of NHCO,  $CH_3NCO$ , CONH,  $CONCH_3$ , S, SO,  $SO_2$ , O, NH, and  $NCH_3$ ; these functional groups are resistant to the hydrolytic activity of cellular lipases, in particular phospholipase A, which is specific for ester linkages at position 1 (as are present in phosphatidyl choline). Preferably, X is S or NHCO, with NHCO being most preferred.

In Formula III,  $R_2$  is a lipophilic moiety which, as is true for  $R_1$ , enables the compounds of Formula III to bind with the cell membrane of an infected cell.  $R_2$  can be an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{14}$  alkyl group. Preferably,  $R_2$  is an unbranched saturated or unsaturated  $C_8$  to  $C_{12}$  alkyl group, and more preferably,  $R_2$  is an unbranched saturated  $C_8$  or  $C_{10}$  alkyl group. It is also preferred that  $R_1$  and  $R_2$  together contain between 18 and 22 carbon atoms.

$R_2$  is bonded to position 2 of the glycerol backbone through a functional group Y, which is selected from the group consisting of NHCO,  $CH_3NCO$ , CONH,  $CONCH_3$ , S, SO,  $SO_2$ , O, NH, and  $NCH_3$ . Like X, Y should be a moiety that is resistant to the hydrolytic activity of cellular

lipases, and in particular phospholipase B, as this enzyme is specific for ester linkages at position 2. Preferably, X is S or O, with O being more preferred.

In the compounds of Formula III, Z is a moiety of Formula V. Moieties of Formula V are intended to be anti-viral agents, and thus potentially provide an additional active site for anti-viral activity that may act through a different mechanism. In the moieties of Formula V, V is H, or N<sub>3</sub>, or V and W together from a covalent bond with H and N<sub>3</sub> being preferred. W is H or F, with H being preferred.

In the compounds of Formula III, B is a purinyl moiety of Formula VI or a pyrimidinyl moiety of Formula VII, each of which are substituted as described above. As used herein, a purinyl moiety comprises six- and five-membered aromatic rings having the molecular structure illustrated in Formula VI. Those skilled in this art will appreciate that the double bonds illustrated in Formula VI are present to represent that the purinyl moieties have aromatic character, and that these double bonds may shift their positions in certain compounds due to the presence of certain substituents to retain the aromatic character of the moiety; in particular, those moieties having =O or NH<sub>2</sub> substituents at positions 2 and 4, such as adenine, guanine, xanthine, and hypoxanthine, are generally illustrated as having double bonds shifted from the positions shown in Formula VI. Similarly, as used herein a pyrimidinyl moiety comprises a six-membered aromatic ring having the molecular structure illustrated in Formula VII. Those skilled in this art will appreciate that the double bonds illustrated in Formula VII are included therein to represent that the moieties of Formula VII have aromatic character, and that these double bonds may shift for certain substituents, in particular for =O and NH<sub>2</sub> at positions 2 and 4, in order for the moiety to retain its aromatic character. Preferably, B is selected from the group consisting of adenine, thymine, cytosine, guanine, hypoxanthine, uracil, 5-fluorouracil, 2-fluoro-adenine, 2-chloro-adenine, 2-bromo-adenine, and 2-amino-adenine.

Preferably, Z is 3'-azido-3'-deoxythymidine, dideoxyinosine, dideoxycytidine, or 2', 3'-didehydro-3'-deoxythymidine. An exemplary



preferred compound of Formula III is 3'-azido-3'-deoxy-5'-(3-dodecanamido-2-decyloxypropyl)-phosphothymidine.

A further aspect of the present invention is a method of inhibiting viral infections comprising administering to a subject an effective infection-inhibiting amount of a compound of Formula IV, wherein  $R_1$ ,  $R_2$ , X, m, n, and Z are as defined above. In the compounds of Formula IV,  $R_1$  can be an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{20}$  alkyl group. As with the compounds of Formula II,  $R_1$  is a lipophilic moiety which binds with the cell membrane of infected cells to provide an anchor thereto. Preferably,  $R_1$  is unbranched saturated or unsaturated  $C_{10}$  to  $C_{18}$  alkyl. More preferably,  $R_1$  is unbranched saturated or unsaturated  $C_{16}$  to  $C_{18}$  alkyl.

In compounds of Formula IV, X is a functional group that links the lipophilic moiety  $R_1$  to position 1 of the ring structure. X should be a functional group, such as  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $NH$ ,  $NCH_3$ ,  $S$ ,  $SO$ ,  $SO_2$ , or  $O$ , that is able to withstand the hydrolytic activity of cellular lipases. Preferably, X is S or  $NHCO$ .

As stated above, the polar hydrophilic end of the amphipathic compounds of Formula IV comprises a phosphate group bonded to the ring structure and a moiety Z as defined in Formula V. In the moieties of Formula V, V is H, or  $N_3$ , or V and W together form a covalent bond, with H and  $N_3$  being preferred. W is H or F, with H being preferred.

In the compounds of Formula IV, B is a purinyl moiety of Formula VI or a pyrimidinyl moiety of Formula VII, each of which are substituted as described above. As used herein, a purinyl moiety comprises six- and five-membered aromatic rings having the molecular structure illustrated in Formula VI. Those skilled in this art will appreciate that the double bonds illustrated in Formula VI are present to represent that the purinyl moieties have aromatic character, and that these double bonds may shift their positions in certain compounds due to the presence of certain substituents to retain the aromatic character of the moiety; in particular, those moieties having =O or  $NH_2$  substituents at positions 2 and 4, such as adenine, guanine, xanthine, and hypoxanthine, are generally illustrated as having double bonds shifted

from the positions shown in Formula VI. Similarly, as used herein a pyrimidinyl moiety comprises a six-membered aromatic ring having the molecular structure illustrated in Formula VII. Those skilled in this art will appreciate that the double bonds illustrated in Formula VII are included therein to represent that the moieties of Formula VII have aromatic character, and that these double bonds may shift for certain substituents, in particular for =O and NH<sub>2</sub> at positions 2 and 4, in order for the moiety to retain its aromatic character. Preferably, B is selected from the group consisting of adenine, thymine, cytosine, guanine, hypoxanthine, uracil, 5-fluorouracil, 2-fluoro-adenine, 2-chloro-adenine, 2-bromo-adenine, and 2-amino-adenine.

Preferably, Z is selected from the group consisting of 3'-azido-3'-deoxythymidine, dideoxyinosine, dideoxycytidine, and 2', 3'-dideoxy-3'-deoxythymidine.

In the compounds of Formula IV, *m* can be 1, 2, or 3, and *n* can be 0, 1, or 2. Preferably, the ring structure is a five- or six-membered ring; thus *m* is 2 or 3 when *n* is 0, *m* is 1 or 2 when *n* is 1, and *m* is 1 when *n* is 2. The ring structure provides conformational rigidity to the compound.

An exemplary compound of Formula IV is 3'-azido-3'-deoxy-5'-(3-hexadecylthiocyclohexyl)-phosphothymidine.

Experimentation has demonstrated the efficacy of the compounds of Formulas I, II, III and IV in combating viral infection. For example, compounds CP-128, CP-129, CP-130, CP-131, and INK-1 in nanomolar concentration substantially inhibit the HIV-1 activity in CEM-SS cells. Further, these compounds did so at noncytotoxic levels, thus indicating their promise as therapeutic agents for treatment of viral infections. The compounds of Formulas I, II, III and IV are believed to attach to the cell membrane and thus are particularly effective against infections caused by membrane-containing or envelope-containing viruses, as these viruses typically require access to the cell membrane to multiply and assemble through the manufacture of new viral particles. For example, the compounds of Formulas I, II, III and IV can inhibit the transport and/or incorporation of HIV-1 major glycoprotein gp120 in the cell membrane of an infected cell prior to viral assembly. Such

inhibition can block the transmission of infectious HIV-1 into neighboring cells. In addition, compounds of Formulas I, II, III and IV can inhibit the production of the HBV core and "e" antigens, each of which contribute to the assembly of new virus particles and the spread of HBV infection. Other  
5 infections for which the compounds of Formulas I, II, III and IV should be efficacious include those caused by other membrane-containing or envelope-containing herpesviruses, influenza, respiratory syncytial virus, mumps, measles, and parainfluenza viruses.

Experimentation has also shown that the compounds of Formulae I, II,  
10 III, and IV have potent anti-tumor activity. In particular, some of these compounds have  $IC_{50}$  values of approximately  $1.2 \mu M$  against the KB-cell line.

In the manufacture of a medicament according to the invention, hereinafter referred to as a "formulation," the compounds of Formulas I, II, III and IV are typically admixed with, among other things, an acceptable carrier.  
15 The carrier must, of course, be acceptable in the sense of being compatible with any other ingredients in the formulation and must not be deleterious to the patient. The carrier may be a solid or a liquid, or both, and is preferably formulated with the compound as a unit-dose formulation, for example, a tablet, which may contain from 0.5 percent to 95 percent by weight of the  
20 active compound. One or more active compounds may be incorporated in the formulations of the invention, which may be prepared by any of the well known techniques of pharmacy consisting essentially of admixing the components.

The formulations of the invention include those suitable for oral, rectal,  
25 topical, intrathecal, buccal (e.g., sub-lingual), parenteral (e.g., subcutaneous, intramuscular, intradermal, or intravenous) and transdermal administration, although the most suitable route in any given case will depend on the nature and severity of the condition being treated and on the nature of the particular active compound which is being used.

30 Formulations suitable for oral administration may be presented in discrete units, such as capsules, cachets, lozenges, or tablets, each containing a predetermined amount of the active compound; as a powder or granules; as

a solution or a suspension in an aqueous or nonaqueous liquid; or as an oil-in-water or water-in-oil emulsion. Such formulations may be prepared by any suitable method of pharmacy which includes the step of bringing into association the active compound and a suitable carrier (which may contain one or  
5 more accessory ingredients as noted above).

Suitable solid diluents or carriers for the solid oral pharmaceutical dosage unit forms are selected from the group consisting of lipids, carbohydrates, proteins and mineral solids, for example, starch, sucrose, lactose, kaolin, dicalcium phosphate, gelatin, acacia, corn syrup, corn starch,  
10 talc and the like.

Capsules, both hard and soft, are filled with compositions of these active ingredients in combination with suitable diluents and excipients, for example, edible oils, talc, calcium carbonate and the like, and also calcium stearate.

15 In general, the formulations of the invention are prepared by uniformly and intimately admixing the active compound with a liquid or finely divided solid carrier, or both, and then, if necessary, shaping the resulting mixture. For example, a tablet may be prepared by compressing or molding a powder or granules containing the active compound, optionally with one or more  
20 accessory ingredients. Compressed tablets may be prepared by compressing, in a suitable machine, the compound in a free-flowing form, such as a powder or granules optionally mixed with a binder, lubricant, inert diluent, and/or surface active/dispersing agent(s). Molded tablets may be made by molding, in a suitable machine, the powdered compound moistened with an  
25 inert liquid binder.

Liquid preparations for oral administration are prepared in water or aqueous vehicles which advantageously contain suspending agents, for example, methylcellulose, acacia, polyvinylpyrrolidone, polyvinyl alcohol and the like.

30 Formulations suitable for buccal (sub-lingual) administration include lozenges comprising the active compound in a flavored base, usually sucrose

and acacia or tragacanth; and pastilles comprising the compound in an inert base such as gelatin, glycerin, sucrose, or acacia.

- Formulations of the present invention suitable for parenteral administration conveniently comprise sterile aqueous preparations of the
- 5 active compound, which preparations are preferably isotonic with the blood of the intended recipient. These preparations are preferably administered intravenously, although administration may also be effected by means of subcutaneous, intramuscular, intrathecal, or intradermal injection. The formulation should be sufficiently fluid that for easy parental administration.
- 10 Such preparations may conveniently be prepared by admixing the compound with water or a glycine buffer and rendering the resulting solution sterile and isotonic with the blood. Such preparations should be stable under the conditions of manufacture and storage, and ordinarily contain in addition to the basic solvent or suspending liquid, preservatives in the nature of
- 15 bacteriostatic and fungistatic agents, for example, parabens, chlorobutanol, benzyl alcohol, phenol, thimerosal, and the like. In many cases, it is preferable to include osmotically active agents, for example, sugars or sodium chloride in isotonic concentrations. Injectable formulations according to the invention generally contain from 0.1 to 5 percent w/v of active compound
- 20 and are administered at a rate of 0.1 ml/min/kg.

Formulations suitable for rectal administration are preferably presented as unit dose suppositories. These may be prepared by admixing the active compound with one or more conventional solid carriers, for example, cocoa butter, and then shaping the resulting mixture.

- 25 Formulations suitable for topical application to the skin preferably take the form of an ointment, cream, lotion, paste, gel, spray, aerosol, or oil. Carriers which may be used include vaseline, lanolin, polyethylene glycols, alcohols, and combinations of two or more thereof. The active compound is generally present at a concentration of from 0.1 to 15 percent w/w, for
- 30 example, from 0.5 to 2 percent w/w.

Formulations suitable for transdermal administration may be presented as discrete patches adapted to remain in intimate contact with the epidermis

of the recipient for a prolonged period of time. Such patches suitably contain the active compound as an optionally buffered aqueous solution of, for example, 0.1 to 0.2M concentration with respect to the said active compound.

- 5           Formulations suitable for transdermal administration may also be delivered by iontophoresis (see, for example, *Pharmaceutical Research* 3 (6), 318, (1986)) and typically take the form of an optionally buffered aqueous solution of the active compound. Suitable formulations comprise citrate or bis/tris buffer (pH 6) or ethanol/water and contain from 0.1 to 0.2M active  
10 ingredient.

- The compounds of Formulas I, II, III and IV are administered in an amount sufficient to combat viral infection. The dose can vary depending on the compound selected for administration, the subject, the route of administration, and other factors. Preferably, the compound is administered  
15 in an amount of at least 0.1 ng/kg, 1 ng/kg, 0.001  $\mu$ g/kg or more, and is administered in an amount no greater than 0.1 g/kg, 0.01 g/kg, 1 mg/kg, or less.

- The invention is illustrated in greater detail in the following nonlimiting examples. In the Examples, "g" means grams, "mg" means  
20 milligrams, " $\mu$ g" means micrograms, " $\mu$ M" means micromolar, "mL" means milliliters, "°C" means degrees Celsius, "THF" means tetrahydrofuran, "DMF" means dimethylformamide, "mol" means moles, "mmol" means millimoles, and "psi" means pounds per square inch.

### **EXAMPLE 1**

#### ***Preparation of Amidoalkyl Derivatives***

25           The procedure set forth below was used to prepare the following compounds:

- (a) 1-dodecanamido-2-decyloxypropyl-3-phosphocholine (CP-128)  
(b) 1-dodecanamido-2-octyloxypropyl-3-phosphocholine (CP-130)  
30       (c) 1-dodecanamido-2-dodecyloxypropyl-3-phosphocholine (CP-  
131)

3-Amino-1,2-propanediol was reacted with lauroyl chloride at room temperature in pyridine and dimethyl formamide. The resulting dodecanamido propanediol was recrystallized from chloroform, then reacted with triphenylmethyl chloride. The tritylated product was recrystallized from hexanes. The C-2 hydroxyl was alkylated by reaction with sodium hydride and the appropriate alkyl bromide in tetrahydrofuran for formation of the ether linkage at C-2 (1-bromodecane for CP-128; 1-bromooctane for CP-130; 1-bromododecane for CP-131). Column chromatography on silica gel with a discontinuous gradient of hexanes:ethyl acetate (95:5 to 80:20) produced the desired 1-dodecanamido-2-alkoxy-3-trityloxypropane. Detritylation with p-toluensulfonic acid in 5:1 methylene chloride:methanol gave product having a free primary hydroxyl after column chromatography (hexanes:ethyl acetate 95:5 to 0:100). Reaction with 2-bromoethyl phosphodichloridate in diethyl ether and pyridine produced the phosphate ester, which was purified on silica gel with chloroform:methanol (100:0 to 2:1). Displacement of the bromide with aqueous trimethylamine in chloroform:isopropanol:dimethyl formamide (3:5:5) gave the final phosphocholine product after column chromatography with chloroform:methanol:ammonium hydroxide (70:35:1 to 70:35:7).

#### EXAMPLE 2

##### 20 ***Preparation of 1-dodecyloxy-2-decyloxypropyl-3-phosphocholine (CP-129)***

Isopropylidene glycerol was alkylated using potassium hydroxide and 1-bromododecane in toluene. The resulting ketal was hydrolyzed with hydrochloric acid in methanol, and the diol formed thereby was recrystallized from methanol. The remaining reaction steps (tritylation, alkylation, detritylation, phosphorylation, amination) followed the procedures described above in Example 1 for the alkylamido derivatives.

#### EXAMPLE 3

##### ***Preparation of cis- and trans-3-hexadecylthio-cyclohexylphosphocholine (INK-1)***

30 2-Cyclohexenone (0.14 mol, 13.4 mL) was dissolved in 10 mL of 10 percent sodium hydroxide and 50 mL of THF. An equimolar amount of hexadecyl mercaptan (0.14 mol, 42.9 mL) was added to the unsaturated ketone and the mixture refluxed to produce 3-hexadecylthiocyclohexanone

(70 percent yield). This product (5.23 mmol, 1.851 g) was dissolved in methanol and reduced with sodium borohydride (5.23 mmol, 0.199 g) to give a racemic mixture of 3-hexadecylthiocyclohexanol (yield 62 percent; cis:trans ratio 4:1). The phosphorylating agent was prepared by refluxing phosphorus oxychloride (0.65 mol, 60.8 mL) and 2-bromoethanol (0.38 mol, 27.0 mL) in 25 mL of trichloroethylene to produce 2-bromoethyl dichlorophosphate (yield 53 percent). The 3-hexadecylthiocyclohexanol (0.56 mmol, 0.200 g) was dissolved in diethyl ether:THF (2:1) and refluxed with the 2-bromoethyl dichlorophosphate (222 mmol, 0.3 mL) to produce 3-hexadecylthiocyclohexyl phosphoethyl bromide (yield 54 percent). The latter (0.276 mmol, 0.150 g) was dissolved in isopropyl alcohol chloroform:DMF (5:3:5) and heated at 65°C with trimethylamine (0.042 mol, 2 mL) to produce the desired product, 3-hexadecylthiocyclohexyl-phosphocholine (yield 38 percent).

This procedure can also be used to prepare 3-alkylthio-cyclopentyl derivatives by substituting 2-cyclopentenone.

#### **EXAMPLE 4**

##### ***Preparation of cis- and trans-3-hexadecanamido-cyclohexylphosphocholine***

2-Cyclohexenone is reacted with benzylamine to give 3-benzylaminocyclohexanone. Hydrogenolysis of the benzylamino group then gives 3-aminocyclohexanone. Reaction with hexadecanoyl chloride affords 3-hexadecanamidocyclohexanone, which is then reduced with sodium borohydride to produce a cis/trans mixture of 3-hexadecanamidocyclohexanol. Separation by column chromatography then gives the pure isomers. Reaction with bromoethylphosphodichloridate, then with trimethylamine will produce 3-hexadecanamido-cyclohexylphosphocholine.

Synthesis of the 2- and 4-alkylamido derivatives can be carried out following essentially similar procedures with the substitution of appropriate starting materials.



**EXAMPLE 5*****Preparation of 3'-azido-3'-deoxy-5'-(dodecanamido-2-decoxypropyl)-phosphothymidine***

3-Dodecanamido-2-decoxy-propanol was synthesized via the scheme  
5 described in Morris-Natschke et al., *C.I. Med. Chem.* 29:2114 (1986). This alcohol was phosphorylated with diphenyl chlorophosphate in pyridine to give the corresponding phosphate ester. The phenyl groups were then removed via hydrogenolysis with  $\text{PtO}_2$ . The phosphatidic acid derivatives were then conjugated to the 5'-hydroxyl of AZT (DCC condensation).

10

**EXAMPLE 6*****Preparation of 3'-azido-3'-deoxy-5'-(dodecyloxy-2-decyloxypropyl)-phosphothymidine*****A. 3-Dodecyloxy-1,2-propanediol**

Isopropylideneglycerol (solketal, 26.4 g, 0.20 mol) in 60 mL of toluene  
15 was added dropwise to a solution of powdered KOH (22.4 g., 0.04 mol) in 150 mL toluene. The resulting mixture was refluxed for 4 hours. 1-Bromododecane (50 g, 0.20 mol) in 40 mL of toluene was then added dropwise, and the solution was refluxed for 10 hours. After cooling, the reaction mixture was diluted with 200 mL of ice-water and extracted with  
20 diethyl ether (3 X 100 mL). The ether layers were dried over magnesium sulfate, and the solvent was removed *in vacuo*. The residue was dissolved in 60 mL of diethyl ether and 260 mL of MeOH. Concentrated HCl (60 mL) was added, and the solution was refluxed for 16 hours. After cooling, ice-water (150 mL) was added, and the layers were separated. The aqueous layer  
25 was extracted with diethyl ether (2 X 75 mL). The combined organic fractions were then dried over sodium sulfate, filtered, and concentrated *in vacuo*. The solid residue was recrystallized from MeOH to give 37 g (0.14 mol, 71%) of a white solid.

**B. 3-Dodecyloxy-1-triphenylmethoxy-2-propanol**

30 The diol synthesized in Section A was tritylated with trityl chloride (59 g, 0.21 mol) in pyridine (200 mL) at 70°C for 5 hours and then at room temperature overnight. The pyridine was removed under vacuum, and the solid residue was partitioned between water and  $\text{CHCl}_3$ . The  $\text{CHCl}_3$  layer

was washed with 5 percent HCl and water, then dried over magnesium sulfate. After removal of solvent, the product was recrystallized from hexanes:ethyl acetate (10:1) to give 19 g of pure product.

**C. 3-Dodecyloxy-2-decyloxy-1-triphenylmethoxypropane**

5        The trityl ether of Section B (13.5 g, 0.027 mol) was added dropwise to an ice-cooled suspension of sodium hydride (80%, 1.6 g, 0.054 mol) in 150 mL of tetrahydrofuran under nitrogen. After stirring for 2 hours at room temperature, heat was applied (55°C). 1-Bromodecane (6 g, 0.027 mol) was added dropwise; heating was continued for 6 hours. After cooling for 3  
10    hours, water was added slowly. Diethyl ether (2 X 100 mL) was added, and the solution washed with 15 percent sodium thiosulfite, water, and brine. After drying over sodium sulfate, the ether was removed, and the residue was chromatographed with a gradient of hexanes:ethyl acetate (100:0 to 20:1) to give 9 g (52%) of a clear liquid.

15    **D. 3-Dodecyloxy-2-decyloxy-1-propanol**

Detritylation of the product of Section C was accomplished using *p*-toluenesulfonic acid (0.9 g) in CHCl<sub>3</sub>:MeOH (72 mL:36 mL) (stirred at room temperature for 48 hours, added 10 percent sodium bicarbonate, extracted with CHCl<sub>3</sub>, dried over magnesium sulfate, and concentrated). The residue  
20    was purified by column chromatography using a gradient of hexanes:ethyl acetate (20:1 to 5:1) to give 3.5 g (63%) of pure 3-dodecyloxy-2-decyloxy-1-propanol.

**E. 3-Dodecyloxy-2-decyloxypropyl Diphenyl Phosphate**

Diphenylchlorophosphate (0.7 mL, 3.4 mmol) in 10 mL of diethyl  
25    ether was cooled to 4°C under nitrogen. 3-Dodecyloxy-2-decyloxy-1-propanol (1.0 g, 2.6 mmol) in 15 mL of pyridine and 5 mL of diethyl ether was added. The solution was warmed to room temperature then heated to about 52°C for 3 hours. It was then cooled to room temperature, diluted with 50 mL of diethyl ether, and washed with water (2 X 25 mL), 0.5 N HCl  
30    (25 mL), and then water (25 mL). The organic layer was dried over sodium sulfate, filtered, and concentrated *in vacuo* to an oil. Chromatography with a

gradient of hexanes:ethyl acetate (10:1 to 1:1) produced 980 mg (1.5 mmol, 60%) of pure product.

F. **3-Dodecyloxy-2-decyloxypropyl Phosphate**

PtO<sub>2</sub> (69 mg) was placed in a Parr hydrogenation bottle. The diphenyl  
5 phosphate of Section E (500 mg) in 100 mL of EtOH was then added. The reaction mixture was hydrogenated at 15 psi for 1.5 hours until hydrogen uptake ceased. The reaction mixture was then filtered through Celite, and the EtOH was removed *in vacuo*. The oil was dissolved in 25 mL of pyridine, concentrated *in vacuo*, and dried under high vacuum to give 350 mg of pure  
10 solid phosphatidic acid.

G. **3'-Azido-3'-deoxy-5'-(3-dodecyloxy-2-decyloxypropyl)-phosphothymidine**

AZT (43 mg, 0.16 mmol) and the phosphatidic acid of Section F (105 mg, 0.22 mmol) were azeotropically dried with pyridine (3 X 3 mL) by  
15 *in vacuo* removal. Dicyclohexylcarbodiimide (220 mg, 1.07 mmol) was added, and the drying was repeated 4 times. A final 3 mL portion of pyridine was added, and the reaction mixture was stirred at room temperature in a desiccator for 4 days. Water (1 g) was added, and the mixture was stirred for 4 hours. The solvents were removed *in vacuo*, and the crude material was  
20 chromatographed on 2 g of silica gel using a gradient of CHCl<sub>3</sub>:MeOH (15:1 to 2:1). The product was dissolved in 11 mL of CHCl<sub>3</sub>:MeOH:H<sub>2</sub>O (4:6:1) and stirred with 1.5 g of Whatman preswollen microgranular cation (Na<sup>+</sup>) exchange concentrated *in vacuo* to give 37 mg of product (22%). FAB ms showed a [MH+Na] ion at 752.4350 (C<sub>35</sub>H<sub>64</sub>N<sub>5</sub>O<sub>9</sub>PNa, 1.4 ppm) and a  
25 [M+2Na]<sup>+</sup> ion at 774.4179 (C<sub>35</sub>H<sub>63</sub>N<sub>5</sub>O<sub>9</sub>PNa<sub>2</sub>, 2.0 ppm).

**EXAMPLE 7**

***Procedure for Assessing Anti-HIV-1 Activity***

The inhibitory effects of synthetic phospholipid compounds on the replication of human immunodeficiency virus type 1 (HIV-1) virus in cells was  
30 examined by the plaque assay procedure of L. Kucera et al., Aids Research and Human Retroviruses 6, 491 (1990). In brief, CEM-SS cell monolayers were infected with HIV-1. Infected cells were overlaid with RPMI-1640 medium plus 10 percent fetal bovine serum (FBS) supplemented with different

concentrations of inhibitor. Plaques were counted at five days after infection. In this assay HIV-1 syncytial plaques are seen as large, multicellular foci (10 to 25 nuclei/syncytium) that appear either brown and granular or clear. Since the number of HIV-1 syncytial plaques correlates with reverse transcriptase (RT) and p24 core antigen activity in the HIV-1 infected cell overlay fluids, the syncytial plaque assay can be used to quantify the amount of infectious virus. Reverse transcriptase activity was assayed according to a described procedure (B. J. Poiesz et al., Proc. Natl. Acad. Scie. (U.S.A.) 77, 7415 (1980)). The activity of p24 core antigen induced by HIV-1 infection of CEM-SS cells was measured spectrophotometrically using the commercial Coulter EIA.

### EXAMPLE 8

#### *Results of Assessment of Anti-HIV-1 Activity*

The results (Table 1) showed that all of the lipid compounds tested have an  $IC_{50}$  against HIV-1 syncytial plaque formation ranging from 0.11 to 0.64  $\mu M$ . The compounds'  $IC_{50}$  for cell cytotoxicity ranged from 11.85 to 75.7  $\mu M$ . The highest differential selectivity (611.7), which is a ratio of the cytotoxicity to the anti-HIV-1 activity, was obtained with compound CP-130.

Table 1

#### Evaluation of Ether Lipids for Cytotoxicity and Anti-Viral Activity in CEM-SS Cells

IC50 ( $\mu M$ )				
	<u>Compounds</u>	<u>Cytotoxicity</u>	<u>Anti-HIV-1 Activity</u>	<u>Differential Selectivity</u>
30	CP-128	31.6	0.14	225.7
	CP-129	75.7	0.64	176.0
	CP-130	67.2	0.11	611.7
35	CP-131	36.6	0.32	114.2
	JM-1 (cis)	11.85	0.42	28.2

Cytotoxicity was measured by uptake of TdR-H<sup>3</sup> into total DNA in the presence of serial concentrations of compound.

5 Anti-HIV-1 activity was measured by standard plaque assay using CEM-SS cell monolayers.

Differential selectivity was determined by dividing the IC<sub>50</sub> for cytotoxicity by the IC<sub>50</sub> for anti-HIV-1 activity.

10

### **EXAMPLE 9**

#### ***Assessment of HBV Activity Inhibition***

Human hepatoblastomas (HepG2) cells were transfected with plasmid DNA containing tandem copies of HBV genomes. These cells constitutively replicate HBV particles. HepG2 cells were treated with varying  
15 concentrations of CP-128 to determine the toxic cell concentration (TC<sub>50</sub>) by neutral red dye uptake. Also, the inhibitory concentration (IC<sub>50</sub>) of CP-128 for HBV replication was determined by ELISA.

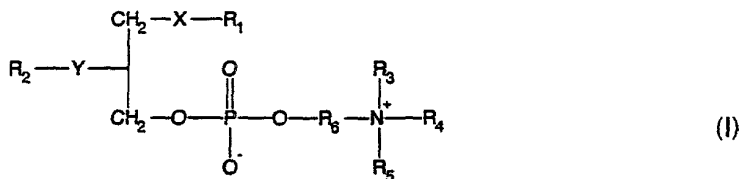
It was determined that CP-128 cytotoxicity (TC<sub>50</sub>) was 61.7  $\mu$ M and the anti-HIV-1 activity (IC<sub>50</sub>) was 15.6  $\mu$ M (Table 1). These data indicate that  
20 CP-128 has selective anti-HBV activity. Mechanism studies indicate that CP-128 can have an inhibitory effect on the cellular production of HBV-induced DNA, core antigen (HBcAg) and "e" antigen (HBeAg). As a result, it is postulated that CP-128 and other compounds of the present invention are likely inhibiting the assembly of HBV nucleocapids and the packaging of viral  
25 pregenomic DNA.

The foregoing examples are illustrative of the present invention and are not to be construed as limiting thereof. The invention is defined by the following claims, with equivalents of the claims to be included therein.

## WHAT IS CLAIMED IS:

1. A method of combating a viral infection in a subject in need of such treatment comprising administering to said subject an effective infection-combating amount of a compound of Formula I

5



10 wherein:  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_8$  to  $\text{C}_{12}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

15  $\text{X}$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;

$\text{R}_2$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

20  $\text{Y}$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{S}$ ,  $\text{SO}$ ,  $\text{SO}_2$ ,  $\text{O}$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;

$\text{R}_6$  is a branched or unbranched  $\text{C}_2$  to  $\text{C}_6$  alkyl group; and  $\text{R}_3$ ,  $\text{R}_4$ , and  $\text{R}_5$  are independently methyl or ethyl, or  $\text{R}_3$  and  $\text{R}_4$  together form an aliphatic or heterocyclic ring having five or six members and  $\text{R}_5$  is methyl or ethyl;

25 or a pharmaceutical salt thereof.

2. A method according to Claim 1, wherein  $\text{R}_1$  is unbranched  $\text{C}_8$ .

30 3. A method according to Claim 1, wherein  $\text{R}_1$  is unbranched  $\text{C}_{10}$ .

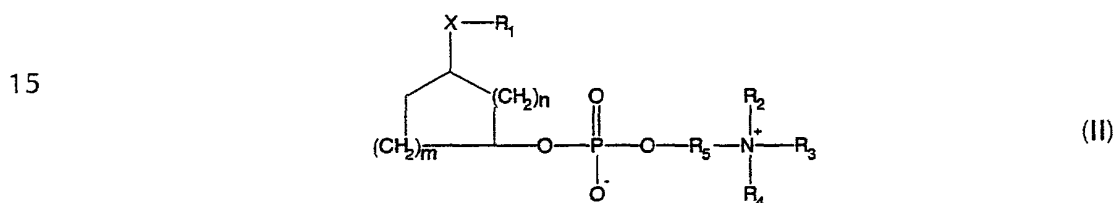
4. A method according to Claim 1, wherein  $R_1$  is unbranched  $C_{12}$ .
5. A method according to Claim 1, wherein  $R_2$  is unbranched  $C_8$  to  $C_{12}$  alkyl.
- 5 6. A method according to Claim 1, wherein  $R_2$  is unbranched  $C_8$ .
7. A method according to Claim 1, wherein  $R_2$  is unbranched  $C_{10}$ .
- 10 8. A method according to Claim 1, wherein  $R_2$  is unbranched  $C_{12}$ .
9. A method according to Claim 1, wherein X is NHCO.
10. A method according to Claim 1, wherein X is S.
- 15 11. A method according to Claim 1, wherein Y is O.
12. A method according to Claim 1, wherein  $R_3$ ,  $R_4$ , and  $R_5$  are each methyl.
- 20 13. A method according to Claim 1, wherein said compound of Formula I is 1-dodecanamido-2-decyloxypropyl-3-phosphocholine.
14. A method according to Claim 1, wherein said compound of Formula I is 1-dodecanamido-2-octyloxypropyl-3-phosphocholine.
- 25 15. A method according to Claim 1, wherein said compound of Formula I is 1-dodecanamido-2-dodecyloxypropyl-3-phosphocholine.
- 30 16. A method according to Claim 1, wherein said compound of Formula I is 1-dodecyloxy-2-decyloxypropyl-3-phosphocholine.

17. A method according to Claim 1, wherein said viral infection is caused by HIV-1 virus.

18. A method according to Claim 1, wherein said viral infection is caused by hepatitis B virus.

19. A method according to Claim 1, wherein said viral infection is caused by herpes simplex virus.

20. A method of combating a viral infection in a subject in need of such treatment comprising administering to said subject an effective infection-combating amount of a compound of Formula II:



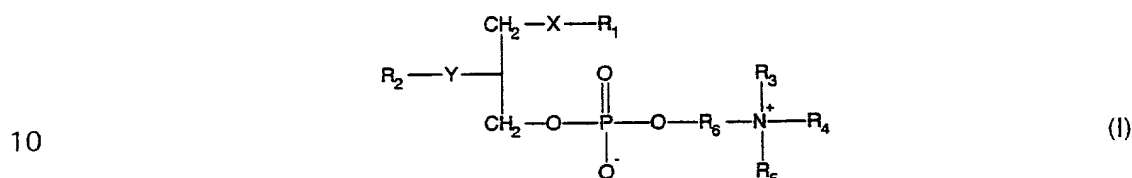
20 wherein: the ring structure of Formula II is optionally substituted from 1 to 3 times with C<sub>1</sub> to C<sub>3</sub> alkyl;  
R<sub>1</sub> is an unbranched or branched, saturated or unsaturated C<sub>6</sub> to C<sub>20</sub> alkyl group;  
R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently methyl or ethyl, or wherein R<sub>2</sub> and R<sub>3</sub> together form an aliphatic or heterocyclic ring having five or six members and R<sub>4</sub> is methyl or ethyl;  
25 X is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>;  
R<sub>5</sub> is a branched or unbranched C<sub>2</sub> to C<sub>6</sub> alkyl group;  
30 m is 1 to 3; and  
n is 0 to 2;  
or a pharmaceutical salt thereof.



21. A method according to Claim 20, wherein  $R_1$  is  $C_{10}$  to  $C_{18}$ .
22. A method according to Claim 20, wherein  $R_1$  is  $C_{16}$  to  $C_{18}$ .
- 5 23. A method according to Claim 20, wherein  $R_2$ ,  $R_3$ , and  $R_4$  are each methyl.
24. A method according to Claim 20, wherein  $R_5$  is  $C_2$ .
- 10 25. A method according to Claim 20, wherein  $n$  is 1.
26. A method according to Claim 20, wherein  $m$  is 2.
- 15 27. A method according to Claim 20, wherein said compound of Formula II is 3-hexadecylthio-cyclohexylphosphocholine.
28. A method according to Claim 20, wherein said compound of Formula II is 3-hexadecylthio-cyclopentylphosphocholine.
- 20 29. A method according to Claim 20, wherein said compound of Formula II is 3-hexadecanamido-cyclohexylphosphocholine.
30. A method according to Claim 20, wherein said compound of Formula II is 3-hexadecanamido-cyclopentylphosphocholine.
- 25 31. A method according to Claim 20, wherein said viral infection is caused by the HIV-1 virus.
- 30 32. A method according to Claim 20, wherein said viral infection is caused by hepatitis B virus.

33. A method according to Claim 20, wherein said viral infection is caused by herpes simplex virus.

34. A method of inhibiting replication of a hepatitis B virus in a subject in need of such treatment comprising administering to said subject a viral replication-inhibiting amount of a compound of Formula I



wherein:  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_8$  to  $\text{C}_{12}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

$\text{X}$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;

$\text{R}_2$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

$\text{Y}$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{S}$ ,  $\text{SO}$ ,  $\text{SO}_2$ ,  $\text{O}$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;

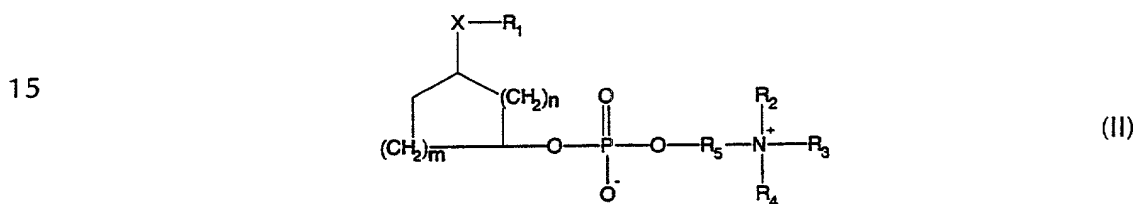
$\text{R}_6$  is a branched or unbranched  $\text{C}_2$  to  $\text{C}_6$  alkyl group; and

$\text{R}_3$ ,  $\text{R}_4$ , and  $\text{R}_5$  are independently methyl or ethyl, or  $\text{R}_3$  and  $\text{R}_4$  together form an aliphatic or heterocyclic ring having five or six members and  $\text{R}_5$  is methyl or ethyl;

or a pharmaceutical salt thereof.

35. A method according to Claim 34, wherein  $\text{R}_2$  is unbranched  $\text{C}_8$  to  $\text{C}_{12}$  alkyl.

36. A method according to Claim 34, wherein X is NHCO.
37. A method according to Claim 34, wherein Y is O.
38. A method according to Claim 34, wherein R<sub>3</sub>, R<sub>4</sub>, and R<sub>5</sub> are each methyl.
39. A method of inhibiting the production of a hepatitis B virus antigen, the antigen being selected from the group consisting of core antigen and "e" antigen, in a subject in need of such treatment comprising administering to said subject an effective antigen-inhibiting amount of a compound of Formula II



- wherein:
- the ring structure of Formula II is optionally substituted from 1 to 3 times with C<sub>1</sub> to C<sub>3</sub> alkyl;
- R<sub>1</sub> is an unbranched or branched, saturated or unsaturated C<sub>6</sub> to C<sub>20</sub> alkyl group;
- R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently methyl or ethyl, or wherein R<sub>2</sub> and R<sub>3</sub> together form an aliphatic or heterocyclic ring having five or six members and R<sub>4</sub> is methyl or ethyl;
- X is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>;
- R<sub>5</sub> is a branched or unbranched C<sub>2</sub> to C<sub>6</sub> alkyl group;
- m is 1 to 3; and
- n is 0 to 2;
- or a pharmaceutical salt thereof.

- 5



25

CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>;

R<sub>6</sub> is a branched or unbranched C<sub>2</sub> to C<sub>6</sub> alkyl group; and

R<sub>3</sub>, R<sub>4</sub>, and R<sub>5</sub> are independently methyl or ethyl, or R<sub>3</sub> and R<sub>4</sub> together form an aliphatic or heterocyclic ring having five or six

5 members and R<sub>5</sub> is methyl or ethyl;

or a pharmaceutical salt thereof.

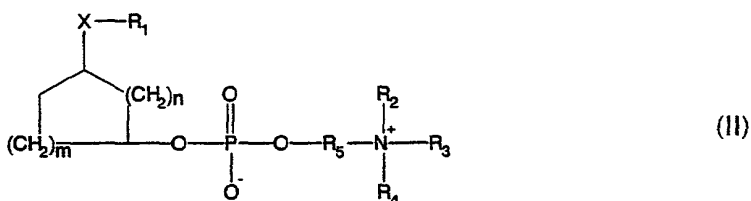
46. A method according to Claim 45, wherein R<sub>2</sub> is unbranched C<sub>8</sub> to C<sub>12</sub> alkyl.

47. A method according to Claim 45, wherein X is NHCO.

48. A method according to Claim 45, wherein Y is O.

49. A method according to Claim 45, wherein R<sub>3</sub>, R<sub>4</sub>, and R<sub>5</sub> are each methyl.

50. A method of inhibiting the incorporation of HIV-1 major glycoprotein gp120 into a cell membrane in a subject infected with HIV-1, comprising administering to said subject a compound of Formula II in an amount effective to inhibit such incorporation:



wherein: the ring structure of Formula II is optionally substituted from 1 to 3 times with C<sub>1</sub> to C<sub>3</sub> alkyl;

R<sub>1</sub> is an unbranched or branched, saturated or unsaturated C<sub>6</sub> to C<sub>20</sub> alkyl group;

R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently methyl or ethyl, or wherein R<sub>2</sub>

and  $R_3$  together form an aliphatic or heterocyclic ring having five or six members and  $R_4$  is methyl or ethyl;

$X$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{S}$ ,  $\text{SO}$ ,  $\text{SO}_2$ ,  $\text{O}$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;

5  $R_5$  is a branched or unbranched  $\text{C}_2$  to  $\text{C}_6$  alkyl group;

$m$  is 1 to 3; and

$n$  is 0 to 2;

or a pharmaceutical salt thereof.

10 51. A method according to Claim 50, wherein  $R_1$  is  $\text{C}_{10}$  to  $\text{C}_{18}$ .

52. A method according to Claim 50, wherein  $R_2$ ,  $R_3$ , and  $R_4$  are each methyl.

15 53. A method according to Claim 50, wherein  $R_5$  is  $\text{C}_2$ .

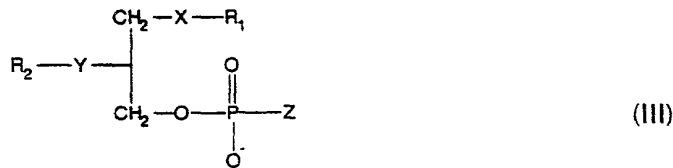
54. A method according to Claim 50, wherein  $n$  is 1.

55. A method according to Claim 50, wherein  $m$  is 2.

20

56. A method of combating a viral infection in a subject in need of such treatment comprising administering to said subject an effective infection-combating amount of a compound of Formula III

25



30 wherein:  $R_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{18}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted

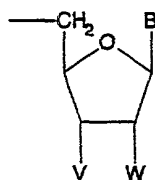
aromatic;

X is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>;

5 R<sub>2</sub> is a branched or unbranched, saturated or unsaturated C<sub>6</sub> to C<sub>14</sub> alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

10 Y is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>; and

Z is a moiety of the Formula V,



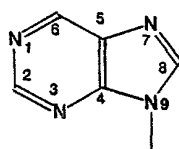
(V)

wherein: V is H or N<sub>3</sub>;

W is H or F; or

V and W together are a covalent bond; and

20 B is a purinyl moiety of Formula VI

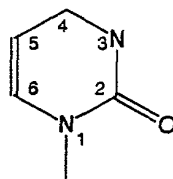


(VI)

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optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII



(VII)

5

substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at  
 position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally  
 10 substituted 1 to 3 times with halogen;  
 or a pharmaceutical salt thereof.

57. A method according to Claim 56, wherein R<sub>1</sub> is unbranched C<sub>8</sub>  
 to C<sub>12</sub> alkyl.

15

58. A method according to Claim 56, wherein R<sub>1</sub> is unbranched C<sub>8</sub>.

59. A method according to Claim 56, wherein R<sub>1</sub> is unbranched

C<sub>10</sub>.

20

60. A method according to Claim 56, wherein R<sub>1</sub> is unbranched

C<sub>12</sub>.

61. A method according to Claim 56, wherein R<sub>2</sub> is unbranched C<sub>8</sub>  
 25 to C<sub>12</sub> alkyl.

62. A method according to Claim 56, wherein R<sub>2</sub> is unbranched C<sub>8</sub>.

63. A method according to Claim 56, wherein R<sub>2</sub> is unbranched

30 C<sub>10</sub>.

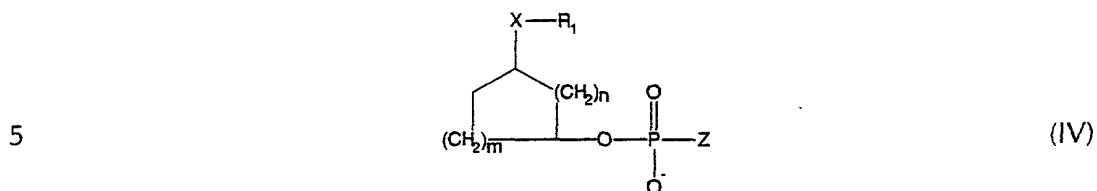
64. A method according to Claim 56, wherein R<sub>2</sub> is unbranched



C<sub>12</sub>.

65. A method according to Claim 56, wherein X is NCO.
- 5 66. A method according to Claim 56, wherein X is S.
67. A method according to Claim 56, wherein Y is O.
68. A method according to Claim 56, wherein B is selected from  
10 the group consisting of adenine, thymine, cytosine, guanine, xanthine,  
hypoxanthine, uracil, 5-fluoro-uracil, 2-fluoro-adenine, 2-chloro-adenine, 2-  
bromo-adenine, and 2-amino-adenine.
69. A method according to Claim 56, wherein said viral infection is  
15 caused by HIV-1 virus.
70. A method according to Claim 56, wherein said viral infection is  
caused by hepatitis B virus.
- 20 71. A method according to Claim 56, wherein said viral infection is  
caused by herpes simplex virus.
72. A method of combating a viral infection in a subject in need of  
such treatment comprising administering to said subject an effective infection-

combating amount of a compound of Formula IV:



wherein: the ring structure of Formula IV is optionally substituted from 1 to 3 times with C<sub>1</sub> to C<sub>3</sub> alkyl;

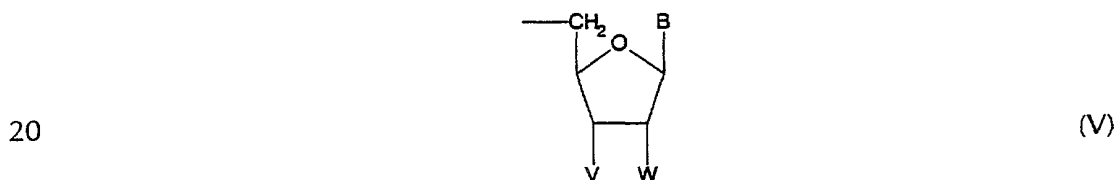
10 R<sub>1</sub> is an unbranched or branched, saturated or unsaturated C<sub>6</sub> to C<sub>20</sub> alkyl group;

X is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>;

m is 1 to 3;

15 n is 0 to 2; and

Z is a moiety of the Formula V,

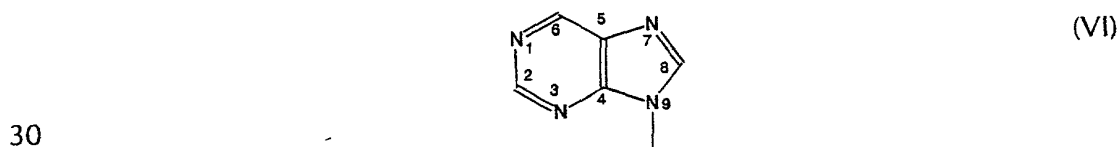


wherein: V is H or N<sub>3</sub>;

W is H or F; or

25 V and W together are a covalent bond; and

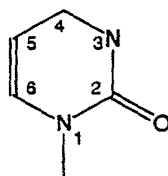
B is a purinyl moiety of Formula VI



optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII

5



(VII)

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substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally substituted 1 to 3 times with halogen;

or a pharmaceutical salt thereof.

15

73. A method according to Claim 72, wherein R<sub>1</sub> is C<sub>10</sub> to C<sub>18</sub>.

74. A method according to Claim 72, wherein R<sub>1</sub> is C<sub>16</sub> to C<sub>18</sub>.

20

75. A method according to Claim 72, wherein R<sub>5</sub> is C<sub>2</sub>.

76. A method according to Claim 72, wherein n is 1.

77. A method according to Claim 72, wherein m is 2.

25

78. A method according to Claim 72, wherein B is selected from the group consisting of adenine, thymine, cytosine, guanine, xanthine, hypoxanthine, uracil, 5-fluoro-uracil, 2-fluoro-adenine, 2-chloro-adenine, 2-bromo-adenine, and 2-amino-adenine.

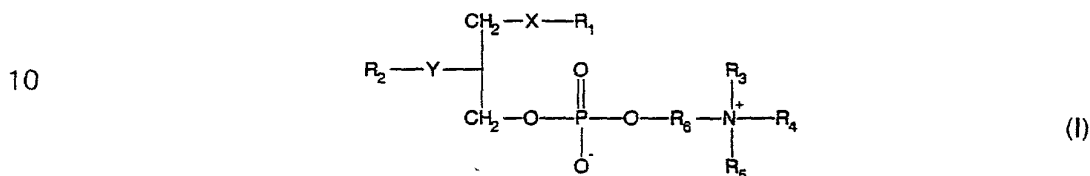
30

79. A method according to Claim 72, wherein said viral infection is caused by the HIV-1 virus.

80. A method according to Claim 72, wherein said viral infection is caused by hepatitis B virus.

81. A method according to Claim 72, wherein said viral infection is caused by herpes simplex virus.

82. A compound of Formula I



wherein:  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_8$  to  $\text{C}_{12}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

X is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , NH, and  $\text{NCH}_3$ ;

$\text{R}_2$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

Y is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S,  $\text{SO}$ ,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ;

$\text{R}_6$  is a branched or unbranched  $\text{C}_2$  to  $\text{C}_6$  alkyl group; and

$\text{R}_3$ ,  $\text{R}_4$ , and  $\text{R}_5$  are independently methyl or ethyl, or  $\text{R}_3$  and  $\text{R}_4$  together form an aliphatic or heterocyclic ring having five or six members and  $\text{R}_5$  is methyl or ethyl.

83. A compound according to Claim 82, wherein  $\text{R}_2$  is unbranched  $\text{C}_8$  to  $\text{C}_{12}$  alkyl.

AMENDED SHEET  
IPEA/EP

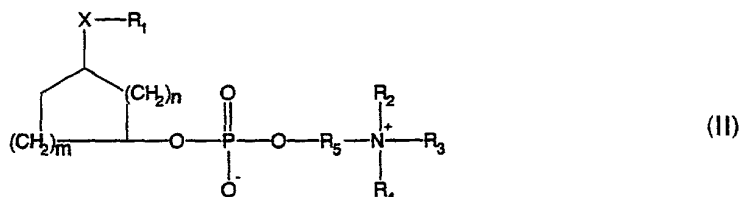
84. A compound according to Claim 82, wherein X is NHCO.

85. A compound according to Claim 82, wherein Y is O.

86. A compound according to Claim 82, wherein  $R_3$ ,  $R_4$ , and  $R_5$  are each methyl.

87. A compound according to Claim 82, in combination with a pharmaceutical carrier.

88. A compound of Formula II



wherein: the ring structure of Formula II is optionally substituted from 1 to 3 times with  $C_1$  to  $C_3$  alkyl;

$R_1$  is an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{20}$  alkyl group;

$R_2$ ,  $R_3$ , and  $R_4$  are independently methyl or ethyl, or wherein  $R_2$  and  $R_3$  together form an aliphatic or heterocyclic ring having five or six members and  $R_4$  is methyl or ethyl;

X is selected from the group consisting of NHCO,  $CH_3NCO$ , CONH,  $CONCH_3$ , S, SO,  $SO_2$ , O, NH, and  $NCH_3$ ;

$R_5$  is a branched or unbranched  $C_2$  to  $C_6$  alkyl group;

m is 1 to 3; and

n is 0 to 2.

89. A compound according to Claim 88, wherein  $R_1$  is  $C_{10}$  to  $C_{18}$ .

90. A compound according to Claim 88, wherein  $R_2$ ,  $R_3$ , and  $R_4$  are each methyl.

91. A compound according to Claim 88, wherein  $R_5$  is  $C_2$ .

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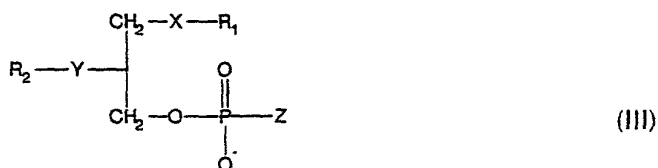
92. A compound according to Claim 88, wherein  $n$  is 1.

93. A compound according to Claim 88, wherein  $m$  is 2.

10 94. A compound according to Claim 88, in combination with a pharmaceutical carrier.

95. A compound of Formula III

15



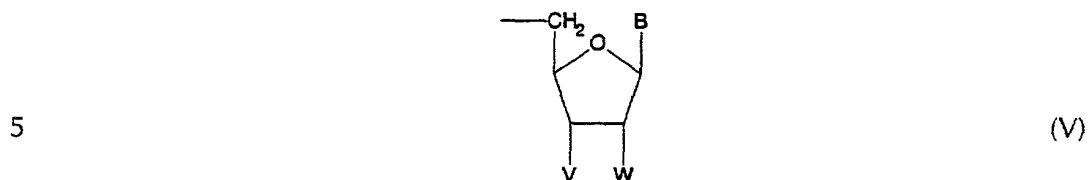
20 wherein:  $R_1$  is a branched or unbranched, saturated or unsaturated  $C_6$  to  $C_{18}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

25 X is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ;

$R_2$  is a branched or unbranched, saturated or unsaturated  $C_6$  to  $C_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

30 Y is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ; and

Z is a moiety of the Formula V,

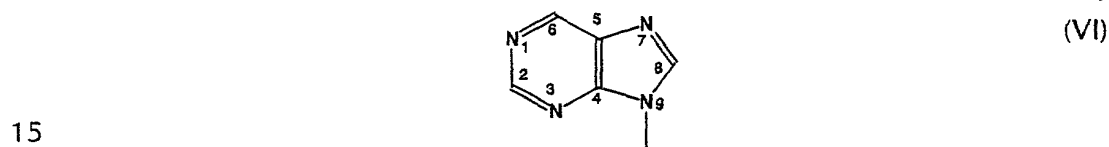


wherein: V is H or N<sub>3</sub>;

W is H or F; or

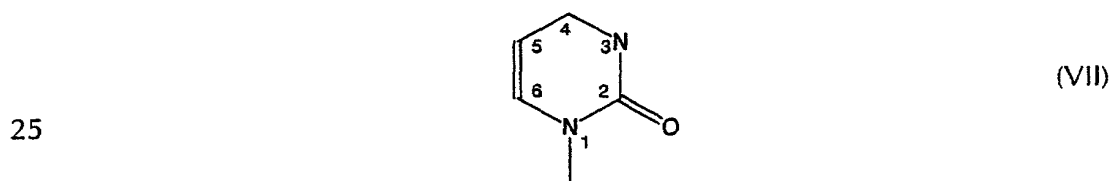
10 V and W together are a covalent bond; and

B is a purinyl moiety of Formula VI



optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or  
halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or  
20 C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII



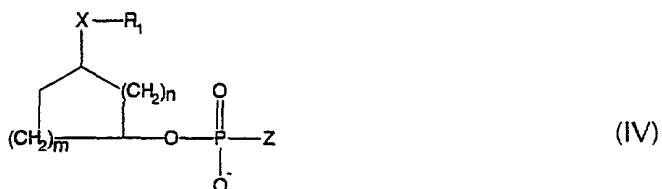
substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at  
position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally  
30 substituted 1 to 3 times with halogen.

96. A compound of Claim 95, in combination with a

pharmaceutical carrier.

97. A compound of Formula IV:

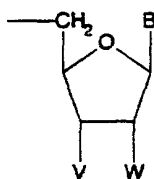
5



10

wherein: the ring structure of Formula IV is optionally substituted from 1 to 3 times with  $C_1$  to  $C_3$  alkyl;  
 $R_1$  is an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{20}$  alkyl group;  
 $X$  is selected from the group consisting of  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $S$ ,  $SO$ ,  $SO_2$ ,  $O$ ,  $NH$ , and  $NCH_3$ ;  
 $m$  is 1 to 3;  
 $n$  is 0 to 2; and  
 $Z$  is a moiety of the Formula V,

20



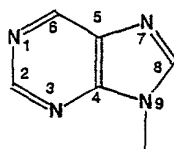
(V)

25

wherein:  $V$  is  $H$  or  $N_3$ ;  
 $W$  is  $H$  or  $F$ ; or  
 $V$  and  $W$  together are a covalent bond; and



B is a purinyl moiety of Formula VI

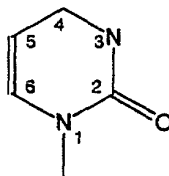


(VI)

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optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or  
 halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or  
 10 C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII



(VII)

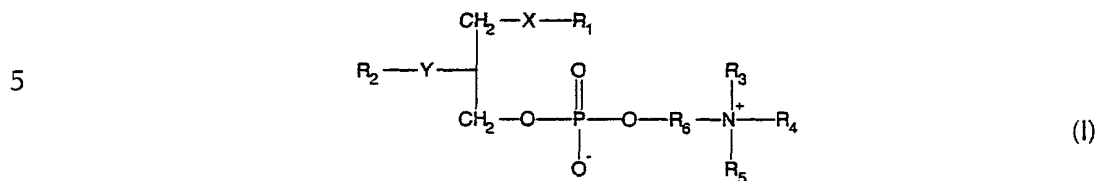
15

substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at  
 position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally  
 20 substituted 1 to 3 times with halogen.

98. A compound according to Claim 97, in combination with a  
 pharmaceutical carrier.

25 99. A method of combating tumors in a subject in need of such

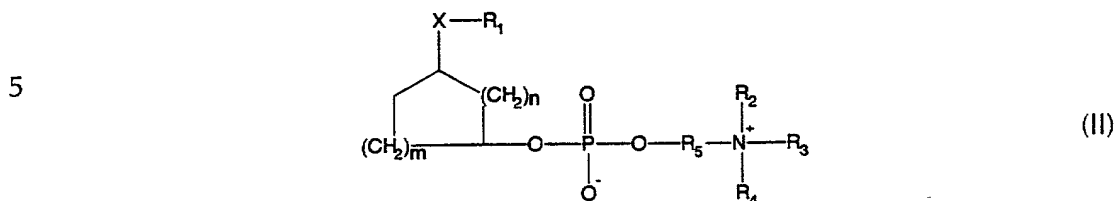
treatment comprising administering to said subject an effective amount of a compound of Formula I



- 10 wherein:  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{18}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;
- $\text{X}$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{S}$ ,  $\text{SO}$ ,  $\text{SO}_2$ ,  $\text{O}$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;
- 15  $\text{R}_2$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;
- $\text{Y}$  is selected from the group consisting of  $\text{NHCO}$ ,  $\text{CH}_3\text{NCO}$ ,  $\text{CONH}$ ,  $\text{CONCH}_3$ ,  $\text{S}$ ,  $\text{SO}$ ,  $\text{SO}_2$ ,  $\text{O}$ ,  $\text{NH}$ , and  $\text{NCH}_3$ ;
- 20  $\text{R}_6$  is a branched or unbranched  $\text{C}_2$  to  $\text{C}_6$  alkyl group; and  $\text{R}_3$ ,  $\text{R}_4$ , and  $\text{R}_5$  are independently methyl or ethyl, or  $\text{R}_3$  and  $\text{R}_4$  together form an aliphatic or heterocyclic ring having five or six members and  $\text{R}_5$  is methyl or ethyl;
- 25 or a pharmaceutical salt thereof.

100. A method of combating tumors in a subject in need of such

treatment comprising administering to said subject an effective amount of a compound of Formula II:



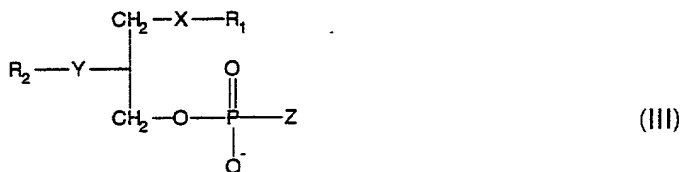
- 10 wherein: the ring structure of Formula II is optionally substituted from 1 to 3 times with C<sub>1</sub> to C<sub>3</sub> alkyl;
- R<sub>1</sub> is an unbranched or branched, saturated or unsaturated C<sub>6</sub> to C<sub>20</sub> alkyl group;
- 15 R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently methyl or ethyl, or wherein R<sub>2</sub> and R<sub>3</sub> together form an aliphatic or heterocyclic ring having five or six members and R<sub>4</sub> is methyl or ethyl;
- X is selected from the group consisting of NHCO, CH<sub>3</sub>NCO, CONH, CONCH<sub>3</sub>, S, SO, SO<sub>2</sub>, O, NH, and NCH<sub>3</sub>;
- R<sub>5</sub> is a branched or unbranched C<sub>2</sub> to C<sub>6</sub> alkyl group;
- 20 m is 1 to 3; and
- n is 0 to 2;

or a pharmaceutical salt thereof.

101. A method of combating tumors in a subject in need of such

treatment comprising administering to said subject an effective amount of a compound of Formula III

5



wherein:  $\text{R}_1$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{18}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

10

X is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ;

15

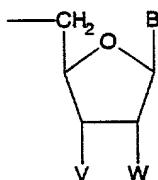
$\text{R}_2$  is a branched or unbranched, saturated or unsaturated  $\text{C}_6$  to  $\text{C}_{14}$  alkyl group optionally substituted from 1 to 5 times with -OH, -COOH, oxo, amine, or substituted or unsubstituted aromatic;

20

Y is selected from the group consisting of NHCO,  $\text{CH}_3\text{NCO}$ , CONH,  $\text{CONCH}_3$ , S, SO,  $\text{SO}_2$ , O, NH, and  $\text{NCH}_3$ ; and

Z is a moiety of the Formula V,

25



(V)

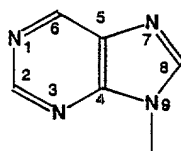
wherein: V is H or  $\text{N}_3$ ;

W is H or F; or

30

V and W together are a covalent bond; and

B is a purinyl moiety of Formula VI

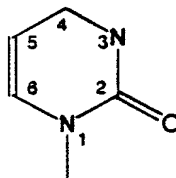


(VI)

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- optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII



(VII)

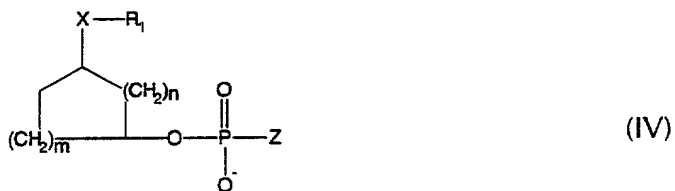
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- substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally substituted 1 to 3 times with halogen; or a pharmaceutical salt thereof.

102. A method of combating tumors in a subject in need of such

treatment comprising administering to said subject an effective amount of a compound of Formula IV:

5



- wherein:
- the ring structure of Formula IV is optionally substituted from 1 to 3 times with  $C_1$  to  $C_3$  alkyl;
  - $R_1$  is an unbranched or branched, saturated or unsaturated  $C_6$  to  $C_{20}$  alkyl group;
  - X is selected from the group consisting of  $NHCO$ ,  $CH_3NCO$ ,  $CONH$ ,  $CONCH_3$ ,  $S$ ,  $SO$ ,  $SO_2$ ,  $O$ ,  $NH$ , and  $NCH_3$ ;
  - $m$  is 1 to 3;
  - $n$  is 0 to 2; and
  - Z is a moiety of the Formula V,

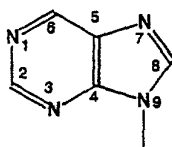
20



- wherein:
- V is H or  $N_3$ ;
  - W is H or F; or
  - V and W together are a covalent bond; and

25

B is a purinyl moiety of Formula VI

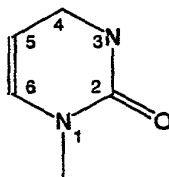


(VI)

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optionally substituted at position 2 with =O, -OH, -SH, -NH<sub>2</sub>, or halogen, at position 4 with NH<sub>2</sub> or =O, at position 6 with Cl, -NH<sub>2</sub>, -OH, or C<sub>1</sub>-C<sub>3</sub> alkyl, and at position 8 with Br or I; or

B is a pyrimidinyl moiety of Formula VII



(VII)

15

substituted at position 4 with =O or NH<sub>2</sub> and optionally substituted at position 5 with halogen or C<sub>1</sub>-C<sub>3</sub> saturated or unsaturated alkyl optionally substituted 1 to 3 times with halogen.  
or a pharmaceutical salt thereof.

ABSTRACT OF THE DISCLOSURE

A method of treating viral infections, and in particular HIV-1, hepatitis B virus, and herpesviruses, is disclosed. The method comprises administering to a subject in need of such treatment an infection-combating amount of a phospholipid or phospholipid derivative.

1. A method of treating viral infections, and in particular HIV-1, hepatitis B virus, and herpesviruses, is disclosed. The method comprises administering to a subject in need of such treatment an infection-combating amount of a phospholipid or phospholipid derivative.



COPY

# United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: LIPID ANALOGS AND METHODS OF TREATING VIRAL INFECTIONS.

The specification of which was filed on August 7, 1995 as application serial no. 08/793,470.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (see page 3 attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**No such applications have been filed.**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

**No such applications have been filed.**

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application Number</u>	<u>Filing Date</u>	<u>Status</u>
08/314,901	September 29, 1994	Pending
PCT US95/10111	August 7, 1995	Pending
08/297,416	August 29, 1994	Pending

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Embretson, Janet E.	Reg. No. 39,665	Litman, Mark A.	Reg. No. 26,390
Bianchi, Timothy E.	Reg. No. 39,610	Fogg, David N.	Reg. No. 35,138	Lundberg, Steven W.	Reg. No. 30,568
Billig, Patrick G.	Reg. No. 38,080	Forrest, Bradley A.	Reg. No. 30,837	Sandberg, Victoria A.	Reg. No. P-41,287
Billion, Richard E.	Reg. No. 32,836	Harris, Robert J.	Reg. No. 37,346	Schwegman, Micheal L.	Reg. No. 25,816
Brennan, Thomas F.	Reg. No. 35,075	Holloway, Sheryl S.	Reg. No. 37,850	Slifer, Russell D.	Reg. No. 39,838
Clark, Barbara J.	Reg. No. 38,107	Klima-Silberg, Catherine I.	Reg. No. 40,052	Viksnins, Ann S.	Reg. No. 37,748
Donahue, Kimberly S.	Reg. No. P-40,998	Kluth, Daniel J.	Reg. No. 32,146	Woessner, Warren D.	Reg. No. 30,440
Dryja, Michael A.	Reg. No. 39,662	Lemaire, Charles A.	Reg. No. 36,198		

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:

P.O. Box 2938, Minneapolis, MN 55402  
Telephone No. (612)339-0331

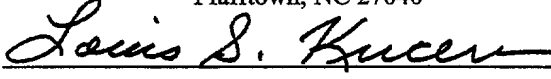
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1 : Louis S. Kucera

Citizenship: United States of America

Residence: Pfafftown, NC

Post Office Address: 4860 Ellen Avenue  
Pfafftown, NC 27040

Signature:   
Louis S. Kucera


Date: April 22, 1997

Full Name of joint inventor number 2 : Susan L. Morris-Natschke


Citizenship: United States of America

Residence: Apex, NC

Post Office Address: 1225 Martha's Chapel Road  
Apex, NC 27502

Signature:   
Susan L. Morris-Natschke

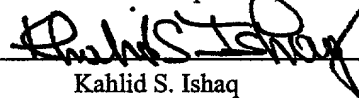
Date: April 23, 1997

Full Name of joint inventor number 3 : 

Citizenship: United States of America

Residence: Chapel Hill, NC

Post Office Address: 105 Hunter Hill Place  
Chapel Hill, NC 27514

Signature:   
Kahlid S. Ishaq

Date: April 23, 1997

Full Name of inventor:

Citizenship:

Residence:

Post Office Address:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.